



Do you train and test your staff members on FAIS?

A key challenge in today's heavily regulated marketplace is to ensure your employees understand relevant regulation and how it affects their own day-to-day behavior and operations in the workplace. Professionals working in the Financial Advisory and Intermediary Services 'FAIS' environment already understand the benefits of, and regulatory requirements for, training in this area. Each member of staff has a role to fulfill in safeguarding the reputation of their FSP and minimizing risk. So it is vital that all staff members be fully trained with the necessary skills and knowledge.

Training

FAIS training is an important requirement and is regarded as important in managing FSP exposure.

Compli-Serve's FAIS Online Awareness and Testing programme:

Compli-Serve has developed a FAIS online awareness training and testing facility for all categories of Financial Services Providers.

All authorized users will be able to view and download training material (which covers the FAIS legal framework and regulatory requirements) and complete online FAIS Tests.

- FAIS testing for registered persons

A 'Main User' is assigned for each client and is able to load the agreed number of sub-users. The Main User is also able to draw an overall report of the specific FSP's test results over any given period and may draw specific details of the tests taken by specific users.

Need more Information?

Should you have any queries or require any further assistance please feel free to contact James George on 0861 273 783 or e-mail james@compliserve.co.za